

## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>RCA 88761</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. <b>PCT/US 98/ 19483</b>	International filing date ( <i>day/month/year</i> ) <b>18/09/1998</b>	(Earliest) Priority Date ( <i>day/month/year</i> ) <b>18/09/1997</b>
Applicant <b>THOMSON CONSUMER ELECTRONICS, INC. et al.</b>		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 3 sheets.

It is also accompanied by a copy of each prior art document cited in this report.

1.  Certain claims were found unsearchable(see Box I).
2.  Unity of invention is lacking(see Box II).
3.  The international application contains disclosure of a nucleotide and/or amino acid sequence listing and the international search was carried out on the basis of the sequence listing
  - filed with the international application.
  - furnished by the applicant separately from the international application,
    - but not accompanied by a statement to the effect that it did not include matter going beyond the disclosure in the international application as filed.
  - Transcribed by this Authority
4. With regard to the title,  the text is approved as submitted by the applicant
  the text has been established by this Authority to read as follows:  
**PERIPHERAL ELECTRONIC DEVICE AND SYSTEM FOR CONTROLLING THIS DEVICE VIA A DIGITAL BUS.**
5. With regard to the abstract,
  - the text is approved as submitted by the applicant
  - the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this International Search Report, submit comments to this Authority.
6. The figure of the drawings to be published with the abstract is:  
 Figure No. 4
  - as suggested by the applicant.
  - because the applicant failed to suggest a figure.
  - because this figure better characterizes the invention.

None of the figures.

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 98/19483

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 H04N/44

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 H04N H04B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 608 730 A (OSAKABE Y. ET AL) 4 March 1997 see column 10, line 4 - column 20, line 5 ---	1-3,6,8
X	US 5 617 330 A (STIRLING A.) 1 April 1997 see the whole document ---	1-3,6,8
X	US 5 499 018 A (WELMER H.) 12 March 1996 see the whole document ---	1-3,6,8
X	US 5 617 571 A (TANAKA S.) 1 April 1997 see column 4, line 66 - column 6, line 4 ---	1-3,6,8
P,A	WO 97 49057 A (SONY CORPORATION) 24 December 1997 see the whole document ---	1-12 -/-

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

° Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

18 December 1998

29/12/1998

Name and mailing address of the ISA

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Authorized officer

Verschelden, J

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 98/19483

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, X	EP 0 849 884 A (SONY CORPORATION) 24 June 1998 see the whole document ---	1-12
E	EP 0 873 009 A (SAMSUNG ELECTRONICS CO. LTD.) 21 October 1998 see the whole document ---	1-12
A	US 5 635 979 A (KOSTRESKI B. ET AL) 3 June 1997 see the whole document -----	1

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 98/19483

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
US 5608730 A	04-03-1997	JP 6244849 A		02-09-1994
		CN 1092584 A		21-09-1994
		EP 0604166 A		29-06-1994
US 5617330 A	01-04-1997	EP 0683960 A		29-11-1995
		FI 953772 A		09-08-1995
		WO 9517058 A		22-06-1995
		JP 8507427 T		06-08-1996
US 5499018 A	12-03-1996	AT 162025 T		15-01-1998
		DE 69223829 D		12-02-1998
		DE 69223829 T		18-06-1998
		EP 0505006 A		23-09-1992
		EP 0510739 A		28-10-1992
		FI 921185 A		23-09-1992
		FI 921186 A		23-09-1992
		JP 5145554 A		11-06-1993
		JP 5083266 A		02-04-1993
		US 5815082 A		29-09-1998
US 5617571 A	01-04-1997	JP 6181590 A		28-06-1994
		CN 1090959 A		17-08-1994
		EP 0593272 A		20-04-1994
		US 5402183 A		28-03-1995
		US 5594907 A		14-01-1997
		US 5579523 A		26-11-1996
		US 5731764 A		24-03-1998
WO 9749057 A	24-12-1997	US 5793366 A		11-08-1998
		AU 3793797 A		07-01-1998
EP 849884 A	24-06-1998	JP 10178686 A		30-06-1998
		CA 2223942 A		18-06-1998
		CN 1191424 A		26-08-1998
EP 873009 A	21-10-1998	NONE		
US 5635979 A	03-06-1997	AU 2657995 A		21-12-1995
		WO 9533338 A		07-12-1995
		US 5666293 A		09-09-1997
		US 5768539 A		16-06-1998

## PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

PCT

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

To:  
 United States Patent and Trademark  
 Office  
 (Box PCT)  
 Crystal Plaza 2  
 Washington, DC 20231  
 ÉTATS-UNIS D'AMÉRIQUE

Date of mailing (day/month/year) 10 June 1999 (10.06.99)	in its capacity as elected Office
International application No. PCT/US98/19483	Applicant's or agent's file reference RCA 88761
International filing date (day/month/year) 18 September 1998 (18.09.98)	Priority date (day/month/year) 18 September 1997 (18.09.97)
<b>Applicant</b> STAHL, Thomas, Anthony et al	

1. The designated Office is hereby notified of its election made:

in the demand filed with the International Preliminary Examining Authority on:

22 March 1999 (22.03.99)

in a notice effecting later election filed with the International Bureau on:

\_\_\_\_\_

2. The election  was

was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No.: (41-22) 740.14.35	Authorized officer  S. De Michiel  Telephone No.: (41-22) 338.83.38
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PATENT COOPERATION TREATY

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

SCHONEMAN, D.T.  
TRIPOLI, Joseph, S.  
Thomson Multimedia Licensing Inc.  
P.O. Box 5312  
Princeton, NJ 08540  
ETATS-UNIS D'AMERIQUE

*DTS*

PCT

NOTIFICATION OF TRANSMITTAL OF  
THE INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT

(PCT Rule 71.1)

Date of mailing  
(day/month/year)

04.10.99

Applicant's or agent's file reference RCA 88761		<b>IMPORTANT NOTIFICATION</b>	
International application No. PCT/US98/19483	International filing date (day/month/year) 18/09/1998	Priority date (day/month/year) 18/09/1997	
Applicant THOMSON CONSUMER ELECTRONICS, INC. et al.			

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

**4. REMINDER**

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer  Saavedra Martinez, V  Tel. +49 89 2399-8621	
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# PATENT COOPERATION TREATY

## PCT

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference RCA 88761	<b>FOR FURTHER ACTION</b>	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
International application No. PCT/US98/19483	International filing date (day/month/year) 18/09/1998	Priority date (day/month/year) 18/09/1997
International Patent Classification (IPC) or national classification and IPC H04N5/44		
Applicant THOMSON CONSUMER ELECTRONICS, INC. et al.		
<p>1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of 7 sheets, including this cover sheet.</p> <p><input checked="" type="checkbox"/> This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of 5 sheets.</p>		
<p>3. This report contains indications relating to the following items:</p> <ul style="list-style-type: none"> <li>I    <input checked="" type="checkbox"/> Basis of the report</li> <li>II    <input type="checkbox"/> Priority</li> <li>III    <input type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</li> <li>IV    <input checked="" type="checkbox"/> Lack of unity of invention</li> <li>V    <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</li> <li>VI    <input checked="" type="checkbox"/> Certain documents cited</li> <li>VII    <input checked="" type="checkbox"/> Certain defects in the international application</li> <li>VIII    <input checked="" type="checkbox"/> Certain observations on the international application</li> </ul>		

Date of submission of the demand 22/03/1999	Date of completion of this report <b>04.10.99</b>
Name and mailing address of the international preliminary examining authority:  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer  de Dieuleveult, A  Telephone No. +49 89 2399 8946



**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/US98/19483

**I. Basis of the report**

1. This report has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

**Description, pages:**

1,3-14,16-22      as originally filed

2,15      as received on      17/09/1999 with letter of      15/09/1999

**Claims, No.:**

1-12      as received on      17/09/1999 with letter of      15/09/1999

**Drawings, sheets:**

1/5-5/5      as originally filed

2. The amendments have resulted in the cancellation of:

- the description,      pages:  
 the claims,      Nos.:  
 the drawings,      sheets:

3.  This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

**IV. Lack of unity of invention**

1. In response to the invitation to restrict or pay additional fees the applicant has:

- restricted the claims.  
 paid additional fees.  
 paid additional fees under protest.

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/US98/19483

- neither restricted nor paid additional fees.
2.  This Authority found that the requirement of unity of invention is not complied and chose, according to Rule 68.1, not to invite the applicant to restrict or pay additional fees.
3. This Authority considers that the requirement of unity of invention in accordance with Rules 13.1, 13.2 and 13.3 is
- complied with.
- not complied with for the following reasons:
- see separate sheet**
4. Consequently, the following parts of the international application were the subject of international preliminary examination in establishing this report:
- all parts.
- the parts relating to claims Nos. .

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**1. Statement**

Novelty (N)	Yes:	Claims 1-11
	No:	Claims 12
Inventive step (IS)	Yes:	Claims 1-8,11
	No:	Claims 9,10,12
Industrial applicability (IA)	Yes:	Claims 1-12
	No:	Claims

**2. Citations and explanations**

**see separate sheet**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/US98/19483

**VI. Certain documents cited**

1. Certain published documents (Rule 70.10)

and / or

2. Non-written disclosures (Rule 70.9)

**see separate sheet**

**VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:

**see separate sheet**

**VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

**see separate sheet**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/US98/19483

**IV. Lack of unity of invention**

1. The separate groups of invention are:
  - claims 1-8 and 11, which refer to a peripheral device (claims 1-6) communicating with a digital display device via a digital bus for transferring both digital video content and digital OSD data, or a corresponding method (claims 7,8 and 11);
  - claims 9 and 10, which deal with a method for communicating digital OSD data from a peripheral device to a display device via a serial bus;
  - claim 12, which refer to a display device receiving digital video content and connected by a digital bus to a peripheral device for receiving digital OSD data.
2. They are not so linked as to form a single general inventive concept (Rule 13.1 PCT) for the following reasons:
  - having a peripheral device connected to a display device via a digital bus to transfer digital OSD data is well known in the art;
  - only independent claims 1, 7 and 11 (i.e. from the first group of invention) comprise the additional feature of further transferring digital video content from the peripheral device to the display device via the same digital bus;
  - claim 9 does not mention any digital video content whereas claim 12 does not specify that the digital video content originates from the peripheral device.

**V. Reasoned statement**

1. Reference is made to the following documents:

D1 = US-A-5,608,730  
D2 = US-A-5,617,330  
D3 = US-A-5,499,018  
D4 = US-A-5,617,571
2. Claim 1:

In figures 7 and 8, document D1 discloses a peripheral consumer electronic device (20) comprising:

  - (a) means (24) for communicating with a digital display device (10) interconnected by a digital bus (1);
  - (b) means (20a, 20b) for providing digital video content;
  - (c) means for generating, in said peripheral device, digital OSD data representative of an on-screen display menu associated with said peripheral

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/US98/19483

device (see column 11, lines 4-9), said digital data being capable of being displayed; and

(d) means for transferring (22) said digital OSD data capable of being displayed via said digital bus to said display device.

Similar prior art is also disclosed in documents D2 through D4.

The subject matter of claim 1 thus differs from the disclosure of documents D1 through D4 in that it further transfers digital video content from the peripheral device to the display device via the same digital bus.

Such a feature is neither disclosed nor fairly suggested by any of the available prior art documents and provides the advantage that OSD menus generated by the peripheral device and transmitted via the digital bus are combined with the underlying video in the display device without burdening the video encoder of the peripheral device.

Thus, the requirements of Article 33 PCT are met.

**3. Claims 7 and 11:**

The same conclusion likewise applies to these corresponding method claims.

**4. Claim 9:**

A communication protocol specifying an asynchronous access type and an isochronous access type is known to be the IEEE 1394 Serial Bus Standard so that the method disclosed in D1 through D4 is indeed suitable "for controlling a peripheral consumer electronic device interconnected via a IEEE 1394 serial bus to a display device", in particular when "communicating with said display device utilizing an asynchronous transfer mechanism of said serial bus".

Additionally, a memory device for storing the digital data is found in both the display and peripheral devices of D1 (see RAMs 12c and 22c, respectively) and adequately replaces a dedicated buffer group, as D4 states (see column 5, lines 30-39).

Since the step of "mapping the connectivity of each device on said serial bus" is not explicitly disclosed in documents D1 to D4, the subject matter of claim 9 is considered not to involve an inventive step.

**5. Claim 10:**

This dependent claim does not appear to comprise any additional features that

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EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/US98/19483

would render its subject-matter inventive over the available prior art. Therefore, this claim fails together with independent claim 9 for lack of inventive step.

**6. Claim 12:**

Since it is obvious that a digital display device comprises "means for receiving digital video content" and is able to overlay digital OSD data received from a peripheral device onto said digital video content (see D1 to D4), this claim does not meet the requirements of Article 33(2) PCT.

**VI. Certain documents**

1. Document EP-A-0 849 884 filed on 11.12.97 and published on 24.06.98 claims a priority date of 18.12.96 and might become relevant to the question of novelty when entering the European phase of the procedure.
2. Document WO-A-97/49057 filed on 20.06.97 and published on 24.12.97 claims priority dates of 21.06.96 and 12.11.96 and is thus to be considered also.
3. Similarly, document EP-A-0 873 009 filed on 24.09.97 and published on 21.10.98 claims a priority date of 14.04.97 and is thus relevant according to Rule 64.3 PCT.

**VII. Certain defects**

1. The features of the claims are not provided with reference signs placed in parentheses (Rule 6.2(b) PCT).
2. Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in the documents D1 to D4 is not mentioned in the description, nor are these documents identified therein.

**VIII. Certain observations**

1. The vague and imprecise statement in the description on page 22, lines 18-21, implies that the subject-matter for which protection is sought may be different to that defined by the claims, thereby resulting in lack of clarity (Article 6 PCT) when used to interpret them (see also the PCT Guidelines, PCT/GL/3 III, 4.3a).

**AMENDED CLAIMS**

[received by the International Bureau on 26 February 1999 (26.02.9 9);  
original claims 4 and 5 cancelled; original claim 1 amended;  
new claim 13 added; remaining claims unchanged (3 pages)]

1. A peripheral consumer electronic device comprising:
  - (a) means for communicating with a digital display device interconnected by digital bus;
  - (b) means for generating, in said peripheral device, digital data representative of an on-screen display associated with said peripheral device;
  - (c) means for transferring said digital data via said digital bus to said display device utilizing an asynchronous transfer mechanism of said digital bus and for controlling the display of said digital data in said display device.
2. The peripheral device of claim 1 wherein said transferring and controlling means comprises means for writing via said digital bus said digital data to a memory device associated with said display device;
3. The peripheral device of claim 2 further comprising a means for navigating said menu in response to a user initiated command, said navigating means generates updated digital data in response to said user initiated command and writes said updated digital data to said memory device, said user initiated command controls operating modes of said peripheral device.

**Cancel Claims 4 and 5.**

6. The peripheral device of claim 1 further comprising a mapping means for identifying the connectivity of said peripheral device with other devices on said digital bus.
7. The peripheral device of claim 6 further comprising means for receiving characteristic information of each device connected on said digital bus.

8. The peripheral device of claim 1 further comprising means for processing video data.

9. In combination in a system for controlling a peripheral consumer electronic device interconnected by a IEEE 1394 serial bus to a display device, said peripheral device performing the steps of:

- (a) communicating with said display device;
- (b) generating, in said peripheral device, digital data representative of an on-screen display menu associated with said peripheral device; and
- (c) transferring said digital data to a memory device associated with said display device utilizing an asynchronous transfer mechanism of said serial bus and controlling the display of said digital data in said display device.

10. The combination of claim 9 further comprising the steps of:

- (a) receiving control information in response to a user initiated command, said control information controlling operating modes of said peripheral device;
- (b) navigating said menu in said peripheral device in response to said control information, wherein the step of navigating comprises updating said digital data; and
- (c) transferring said updated digital data to said memory device of said display device.

11. In combination in a system for controlling a peripheral consumer electronic device interconnected by a IEEE 1394 serial bus to a display device, said peripheral device performing the steps of:

- (a) mapping the connectivity of each device on said serial bus;
- (b) communicating with said display device utilizing an asynchronous transfer mechanism of said serial bus;
- (c) generating, in said peripheral device, digital data representative of an on-screen display menu associated with said peripheral device; and

(d) providing to said display device a first message indicative of the availability of said digital data, said first message comprising the location and size of said digital data in a memory device associated with said peripheral device.

12. The combination of claim 11 further comprising the steps of:

(a) receiving control information in response to a user initiated command, said control information controlling operating modes of said peripheral device;

(b) navigating said menu in said peripheral device in response to said control information, wherein the step of navigating comprises updating said digital data;

(c) providing to said display device a second message comprising the location and size of said updated digital data; and

(d) transferring said updated digital data to said memory device of said display device.

13. A peripheral consumer electronic device comprising:

(a) means for communicating with a digital display device interconnected by digital bus;

(b) means for generating, in said peripheral device, digital data representative of an on-screen display associated with said peripheral device;

(c) means for transferring said digital data via said digital bus to said display device utilizing an isochronous transfer mechanism of said digital bus and for controlling the display of said digital data in said display device.

unit. Each such peripheral device interprets the key presses it receives via its direct link and carries out the corresponding actions. These actions can include, but do not require, the activation of an on-screen display (OSD) mechanism on a controlling or display device (e.g., TV). More importantly, even when such an OSD mechanism is activated, it serves only as a visual feedback to the user. The actual control is driven by input on the RC unit and takes place even when the display device is off (i.e. OSD not visible to the user).

An OSD of such A/V devices is generated in the peripheral device and is output on the NTSC output of the device in the same manner as any other video signal. Thus, no additional hardware or software is needed in either the peripheral or the display device. Figure 1 illustrates a present A/V system 10 having a VCR 12 and a display device 14 (e.g., television) that employs such a control methodology. Menus associated with controlling VCR 12 are generated by the VCR 12 and are provided to the display device 14 via the NTSC output of the VCR 12 as a composite video. Unfortunately, to use the same approach (See Figure 2) with a digital TV (DTV) as a display device 12' is not practical since it would require the menus to be transported as MPEG-2 transport streams. Generation of such streams necessitates integrating an MPEG encoder 15' into all peripheral devices which greatly increases the cost and complexity of such consumer electronic devices.

#### Summary of the Invention

This patent application defines a minimal level of interoperability for exchanging audio/video (A/V) content and associated control between common consumer electronic (CE) devices. An interface based on the IEEE 1394 serial bus for the physical and link layers and makes use of a control language such as CAL or AV/C for managing OSDs and connectivity issues. With most of today's products, a video source is chosen on the display device and the user then interacts directly with



menu is transferred via serial bus 16 to DTV 14" where the menu information is overlayed in DTV 14" with the decoded MPEG stream prior to being displayed.

To simplify the transfer of OSD information, a "Pull" method to transfer the OSD information from the peripheral device or DVCR 12" to the display capable controlling device or DTV 14" may be used. With this method, the bulk of the OSD data is transferred from the peripheral device to a display device by asynchronous read requests issued by the display device. That is, the display device reads the OSD information from the memory of the peripheral device by making use of at least one block read transaction of IEEE 1394. The display device is informed of the location and size of the OSD data via a "trigger" command which is sent from the peripheral device to the display device when the peripheral device is ready to begin transferring data.

Since the OSD information on the peripheral device is updated in response to user entered data (such as from a remote controller 13), the display device is alerted of the availability of newly updated data. This can be achieved by sending a short message (i.e., "trigger") to the OSD object of the controlling device. It should be noted that such a message needs to inform the display device of the starting location as well as length of the OSD data to be read. The length is necessary since the application in the controlling device is going to make use of asynchronous read transactions of IEEE 1394.

If the length is greater than what would fit into the maximum packet length possible for the particular IEEE 1394 network, the controller may initiate multiple block read transactions until all the OSD information has been read. In addition to the starting location and length of the current OSD data to be transferred to a display device, a field indicating the type of OSD data is useful. This is especially useful since in this case the same mechanism can also be used to trigger the

Claims

1. A peripheral consumer electronic device comprising:
  - (a) means for communicating with at least one digital display device interconnected by digital bus;
  - (b) means for generating, in said peripheral device, digital data representative of an on-screen display associated with said peripheral device;
  - (c) means for transferring said digital data via said digital bus to said display device; and for controlling the display of said digital data in said display device.
2. The peripheral device of claim 1 wherein said transferring and controlling means comprises means for writing via said digital bus said digital data to a memory device associated with said display device;
3. The peripheral device of claim 2 further comprising a means for navigating said menu in response to a user initiated command, said navigating means generates updated digital data in response to said user initiated command and writes said updated digital data to said memory device, said user initiated command controls operating modes of said peripheral device.
4. The peripheral device of claim 3 wherein said transferring and controlling means utilizes an asynchronous transfer mechanism of said digital bus.
5. The peripheral device of claim 3 wherein said transferring and controlling means utilizes an isochronous transfer mechanism of said digital bus.

6. The peripheral device of claim 1 further comprising a mapping means for identifying the connectivity of said peripheral device with other devices on said digital bus.
7. The peripheral device of claim 6 further comprising means for receiving characteristic information of each device connected on said digital bus.
8. The peripheral device of claim 1 further comprising means for processing video data.
9. In combination in a system for controlling a peripheral consumer electronic device interconnected by a IEEE 1394 serial bus to a display device, said peripheral device performing the steps of:
  - (a) communicating with said display device;
  - (b) generating, in said peripheral device, digital data representative of an on-screen display menu associated with said peripheral device; and
  - (c) transferring said digital data to a memory device associated with said display device utilizing an asynchronous transfer mechanism of said serial bus and controlling the display of said digital data in said display device.
10. The combination of claim 9 further comprising the steps of:
  - (a) receiving control information in response to a user initiated command, said control information controlling operating modes of said peripheral device;
  - (b) navigating said menu in said peripheral device in response to said control information, wherein the step of navigating comprises updating said digital data; and
  - (c) transferring said updated digital data to said memory device of said display device.



11. In combination in a system for controlling a peripheral consumer electronic device interconnected by a IEEE 1394 serial bus to a display device, said peripheral device performing the steps of:

- (a) mapping the connectivity of each device on said serial bus;
- (b) communicating with said display device utilizing an asynchronous transfer mechanism of said serial bus;
- (c) generating, in said peripheral device, digital data representative of an on-screen display menu associated with said peripheral device; and
- (d) providing to said display device a first message indicative of the availability of said digital data, said first message comprising the location and size of said digital data in a memory device associated with said peripheral device.

12. The combination of claim 11 further comprising the steps of:

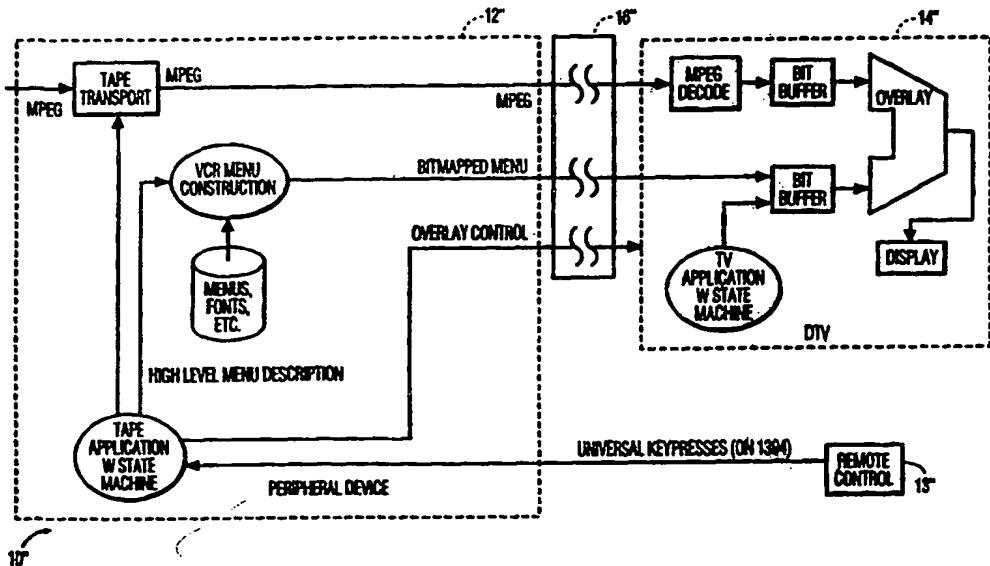
- (a) receiving control information in response to a user initiated command, said control information controlling operating modes of said peripheral device;
- (b) navigating said menu in said peripheral device in response to said control information, wherein the step of navigating comprises updating said digital data;
- (c) providing to said display device a second message comprising the location and size of said updated digital data; and
- (d) transferring said updated digital data to said memory device of said display device.



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(54) Title: PERIPHERAL ELECTRONIC DEVICE AND SYSTEM FOR CONTROLLING THIS DEVICE VIA A DIGITAL BUS



(57) Abstract

A minimal level of interoperability for exchanging audio/video (A/V) content and associated control between common consumer electronic (CE) devices is defined. This interoperability is based on the IEEE 1394 serial bus for the physical and link layers and makes use of A/V/C or CAL as the control language. On-Screen Displays (OSDs) (e.g., menus) may be transferred from a peripheral device (for example, digital VCR) to a controller (for example, DTV) by sending one frame of video either using a push or pull method in conjunction with the asynchronous services of the IEEE 1394 serial bus via an isochronous channel.

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PERIPHERAL ELECTRONIC DEVICE AND SYSTEM FOR CONTROLLING THIS DEVICE VIA A DIGITAL BUS.

#### Field of the Invention

The invention involves a system for controlling multiple electronic devices, such as consumer electronic devices or the like, via interconnections such as digital data buses. More particularly, this invention concerns an arrangement for managing the interoperability of such devices.

#### Background of the Invention

A data bus can be utilized for interconnecting electronic devices such as television receivers, display devices, video-cassette recorders (VCR), direct broadcast satellite (DBS) receivers, and home control devices (e.g., a security system or a temperature control device). Communication using a data bus occurs in accordance with a bus protocol. Examples of bus protocols include the Consumer Electronics Bus (CE Bus), and the IEEE 1394 High Performance Serial Bus.

A bus protocol typically provides for communicating both control information and data. For example, CEBus control information is communicated on a "control channel" having a protocol defined in Electronics Industries Association (EIA) specification IS-60. On an IEEE 1394 serial bus, control information is generally passed using the serial bus' asynchronous services. Control information for a particular application can be defined using for example, CAL (Common Application Language) or AV/C.

Today, most audio/video (AV) devices are controlled with a remote control (RC) unit. The actual physical or direct link may be implemented with infrared (IR), ultrasound (US) or radio-frequency transmission (RF). The protocol between the peripheral device and the RC unit is device specific such that each device comes with its own RC

unit. Each such peripheral device interprets the key presses it receives via its direct link and carries out the corresponding actions. These actions can include, but do not require, the activation of an on-screen display (OSD) mechanism on a controlling or display device (e.g., TV). More importantly, even when such an OSD mechanism is activated, it serves only as a visual feedback to the user. The actual control is driven by input on the RC unit and takes place even when the display device is off (i.e. OSD not visible to the user).

An OSD of such A/V devices is generated in the peripheral device and is output on the NTSC output of the device in the same manner as any other video signal. Thus, no additional hardware or software is needed in either the peripheral or the display device. Figure 1 illustrates a present A/V system 10 having a VCR 12 and a display device 14 (e.g., television) that employs such a control methodology. Menus associated with controlling VCR 12 are generated by the VCR 12 and are provided to the display device 14 via the NTSC output of the VCR 12 as a composite video. Unfortunately, to use the same approach (See Figure 2) with a digital TV (DTV) as a display device 12' is not practical since it would require the menus to be transported as MPEG-2 transport streams. Generation of such streams necessitates integrating an MPEG encoder 15' into all peripheral devices which greatly increases the cost and complexity of such consumer electronic devices.

#### Summary of the Invention

This patent application defines a minimal level of interoperability for exchanging audio/video (A/V) content and associated control between common consumer electronic (CE) devices. An interface based on the IEEE 1394 serial bus for the physical and link layers and makes use of a control language such as CAL or AV/C for managing OSDs and connectivity issues. With most of today's products, a video source is chosen on the display device and the user then interacts directly with

the device to be controlled (i.e., peripheral device (e.g., VCR)) using the remote control. The menus are generated by the peripheral device and are transported to the TV over the composite video link.

The invention defines transferring On Screen Displays (OSDs) (e.g., menus) from a peripheral device (such as a digital VCR or DVHS) to a controlling device (such as a digital television or DTV) using one of several formats such as (1) sending one frame of video either over the asynchronous using a push method or pull method triggered by a message from the peripheral device to the DTV or over an isochronous channel; (2) transfer a run-length-encoded version of the OSD; (3) transfer the actual information in an OSD bitmap format; (4) MPEG-I frame stills transported over the Isochronous link. In most cases, the peripheral device would not use MPEG-I frames for menus since it is difficult to represent text using this method and it is expensive to encode pictures in real time. However, peripheral devices that want to supply an MPEG picture as a background for the menu would be able to do it.

For example, the Push method involves writing the menu of the peripheral device (i.e., the device to be controlled) directly into a bit buffer of the DTV which is available via the IEEE 1394 serial bus. The peripheral device can update the sections of the display that have changed, and let the DTV know when it is finished so that it can dump the updated menu in the Video RAM for display. Alternatively, a PULL method may be employed.

OSD Management Messages and connection management messages will be defined as general structures that can be carried via AV/C or CAL. It should be noted however that these messages will be able to easily be carried by other means. A focus of the present invention is to enable the capability of carrying A/V information over a digital link and providing a means for an A/V device to display its menu or Graphical User Interface (GUI). Further, the present invention relies

on a user-machine control paradigm as opposed to the machine-machine paradigm which has previously been commonly discussed with respect to IEEE 1394 and CEBus control.

Still further, the present invention supports using IEC61883 for carrying A/V data across the isochronous channels and 61883 FCP may be used to encapsulate the CAL or AV/C command directly over IEEE 1394 serial bus and therefore allows for coexistence with other control languages. Many of the devices will use a registry table that is built during a discovery process which looks at information stored in each instrument's Self Describing Device Table (SDDT). The SDDT may contain such information as a unique ID, node address, etc. The registry tables would be used by the DTV to build a menu to allow the user to set up connections between components (similar to the user selecting the composite input for the source of their TV today).

There are several advantages to this control paradigm where the peripheral device displays its menu or GUI on the DTV and accepts commands directly. For example, little of a control language is required to be defined for basic interoperability and device models are not needed. Further, since inputs go directly to the peripheral device and the OSD is defined as a form of basic video, the control is totally independent of the type of device being controlled thereby assuring long term interoperability.

A control language is required to manage the network, OSD, and for optionally transporting universal commands across the bus. AV/C, CAL or any equivalent control language may be successfully employed in connection with practicing this invention.

#### Brief Description Of The Drawing

The invention may be better understood by referring to the enclosed drawing in which:

Figure 1 shows, in simplified block-diagram form, the interoperability of a prior art audio/video system;

Figure 2 shows, in simplified block-diagram form, the extension of the prior art interoperability between a digital VCR and a digital television;

Figure 3 is a simplified schematic block diagram illustrating the IEEE 1394 serial bus protocol;

Figure 4 shows, in simplified block-diagram form, the interoperability of digital devices employing the present invention; and

Figure 5 shows, in a simplified schematic form, the interaction of the digital devices of Figure 4.

In the drawing, reference numerals that are identical in different figures indicate features that are the same or similar.

#### Detailed Description of the Drawings

The use of IEEE 1394 serial bus has been suggested for many applications within a Home Network environment. It is being discussed within Video Electronics Standards Association (VESA) for use as a "whole home network." It is being built into the next generation PCs and will be used for many local peripherals including disc drives. It is also clear that this will be an important interface for digital A/V consumer electronic devices such as digital televisions and VCRs. Within the entertainment cluster composed of consumer electronic audio/video devices, there are many different levels of interface support at the application level.

IEEE-1394 is a high speed, low cost digital serial bus 16 developed for

use as a peripheral or back-plane bus. Some of the highlights of the bus include: Dynamic node address assignments, Data rates of 100, 200, and 400 Mbits/sec, Asynchronous and isochronous modes, fair bus arbitration, and consistency with ISO/IEC 13213. Figure 3 illustrates the serial bus protocol for the IEEE 1394 serial bus as a set of three stacked layers.

The physical layer 18 has physical signaling circuits and logic that are responsible for power-up initialization, arbitration, bus-reset sensing, and data signaling. Two shielded low-voltage differential signal pairs, plus a power pair are defined for the IEEE-1394 cable. Signaling is done by using Data-Strobe bit-level encoding which doubles jitter tolerance.

Data is formatted into packets in the link layer 20. Two classes of data communication between devices are supported: asynchronous and isochronous. Asynchronous communication can be characterized as "allows acknowledgment," while isochronous communication can be characterized as "always on time." The asynchronous service will be used primarily for control and status messages while isochronous communication will be used for data streams such as MPEG video. The timely nature of isochronous communication is achieved by providing a cycle every 125 $\mu$ sec. Isochronous cycles take priority over asynchronous communication.

Asynchronous transfer can take place any time the bus is free. A minimum of 25  $\mu$ sec out of every 125  $\mu$ sec cycle is reserved for asynchronous data transfer. Isochronous transfer provides a real-time data transfer mechanism. An ongoing isochronous communication between one or more devices is referred to as a channel. The channel has to be established first, then the requesting device is guaranteed to have the requested amount of bus time every cycle.

The transaction layer 22 defines a complete request-reply protocol to

perform bus transactions. Although transaction layer 22 does not add any services for isochronous data transfer, it does provide a path for management of the resources needed for isochronous services. This is done through reads and writes to the control status register (CSR).

Transaction layer 22 also defines a retry mechanism to handle situations where resources are busy and unable to respond.

Asynchronous data is transferred between IEEE-1394 nodes utilizing one of three transactions; "read-data" for retrieving data from a different node, "write-data" for transferring data to a different node and "lock-data" for transferring data to a different node for processing and then the data is returned back to the original node.

Serial bus management 24 describes the protocols, services, and operating procedures whereby one node is selected and may then exercise management level control over the operation of the remaining nodes on the bus. There are two management entities defined for IEEE 1394 serial bus; the isochronous resource manager 26 and the bus manager 28. These two entities may reside on two different nodes or on the same node. Bus manager 28 may be absent from the bus. In this circumstance, the isochronous resource manager 26 exercises a subset of the management responsibilities normally assumed by the bus manager 28. The bus manager 28 provides a number of services including; maintenance of the speed and topological map, and bus optimization. The isochronous resource manager provides facilities for allocation of isochronous bandwidth, allocation of channel numbers, and the selection of the cycle master.

Node control is required at all nodes; node controller 30 implements the CSRs required by all serial bus nodes and communicates with the physical 18, link 20, and transaction 22 layers and any application present in the device. Node controller 30 component as well as CSR and configuration ROM facilities are used to configure and manage the activities at an individual node.

For the IEEE 1394 serial bus to function properly, an Isochronous Resource Manager (IRM) 26 and a Bus Manager (BM) 28 will be needed. Since most clusters will include a display device of some kind, it should be required that a Set Top Box with Analog Display and DTV must be IRM and BM capable. In some cases, such as an all audio cluster, a display device may not be present. In this case, it should also be required that a Digital Audio Amp be IRM and BM capable.

The IRM 26 provides the resources necessary for the serial bus to cooperatively allocate and de-allocate the isochronous resources, (channels and bandwidth), required for orderly isochronous operations. The IRM 26 provides a common location for the other nodes to check on availability of channels and bandwidth, and to register their new allocations. The IRM 26, whose location is known immediately upon completion of the self identify process, also provides a common location where serial bus nodes may determine the identity of the BM 28, if one is present.

The BM 28, if present, provides management services to other nodes on the serial bus. These include activation of a cycle master, performance optimization, power management, speed management and topology management.

Functional Control Protocol (FCP) is designed in order to control devices connected through an IEEE-1394 bus. FCP uses the IEEE-1394 asynchronous write packet for sending commands and responses. The IEEE-1394 asynchronous packet structure with FCP imbedded in the data field shown below. The Command/Transaction SET (CTS) specifies the command set (e.g. AV/C, CAL).

**FCP Frame (shaded) in the payload of an asynchronous write**

<b>Destination ID</b>	<b>Tl</b>	<b>Rt</b>	<b>0001</b>	<b>Pri</b>
<b>Source ID</b>	<b>Destination offset</b>			
<b>Data Length</b>				
<b>Extended tcode</b>				
<b>Header CRC</b>				
<b>Zero or more bytes of data payload</b>				
<b>Data CRC</b>				

FCP frames are classified as command frames, and response frames. The command frame is written into a command register on a peripheral device and the response frame is written into a response register on a controller. The standard specifies two addresses for the command and the response.

The structure of the isochronous packet in IEC-61883 is shown below. The packet header is composed of two quadlets of an IEEE-1394 isochronous packet. (A quadlet is defined as four 8-bit bytes.) The Common Isochronous Packet (CIP) header is placed at the beginning of the data field of an IEEE-1394 isochronous packet, immediately followed by the real time data.

<b>Data Length</b>	<b>Tag</b>	<b>Channel</b>	<b>Tcode</b>	<b>Sy</b>
<b>Header CRC</b>				
<b>CIP Header</b>				
<b>Real Time Data</b>				
<b>Data CRC</b>				

Data length is the data field length in bytes, Tag indicates whether CIP exist (01) or not (00), Channel specifies the isochronous channel number, Tcode=1010, and Sy is an application specific control field.

The 61883 standard defined a generic format for consumer A/V transmission. This format has a two quadlet header as shown below. In the table, SID is Source node\_ID, DBS is data block size in quadlets,

Fraction Number (FN) allow you to divide source packets for bus time utilization, Quadlet Padding Count (QPC) indicates number of quadlets count, Source Packet Header (SPH) is a flag to indicate whether the packet has a source packet header, rsv indicates reserved for future, Data Block Counter (DBC) is a continuity counter, FMT indicates the format ID such as MPEG2, DVCR, and Format Dependent field (FDF) is format ID specific.

0	0	SID	DBS	FN	QPC	SPH	rsv	DBC
1	0	FMT						FDF
Reserved		time stamp						

The concept of plugs and plug control registers is used to start and stop isochronous data flows on the bus and to control their attributes. Plug control registers are special purpose CSR registers. The set of procedures that use the plug control registers to control an isochronous data flow are called Connection Management Procedures (CMP).

Isochronous data flows from one transmitting device to zero or more receiving devices by sending the data on one isochronous channel on the IEEE-1394 bus. Each isochronous data flow is transmitted to an isochronous channel through one output plug on the transmitting device and is received from the isochronous channel through one input plug on each of the receiving devices.

The transmission of an isochronous data flow through an output plug is controlled by one output Plug Control Register (oPCR) and one output Master Plug Register (oMPR) located on the transmitting device. oMPR controls all common isochronous data flow attributes while oPCR controls all other attributes. Similar registers (iPCR, and iMPR) exist for the reception of isochronous data. There is only one oMPR (iMPR) for all output plugs (input plugs). The contents of oMPR (iMPR) include data rate capability and number of plugs among

others. oMPR and iMPR each contain a connection counter, channel number, and data rate among others.

There are a number of management procedures for each connection type that allows an application to establish a connection, overlaying a connection, and breaking a connection. These procedures involve allocation of IEEE-1394 resources, setting appropriate values into the plug control registers, reporting possible failure conditions to the application, and managing connections after a bus reset. One such CMP follows.

To transport isochronous data between two A/V devices on IEEE 1394 serial bus, it is necessary for an application to connect an output plug on the transmitting device to an input plug on the receiving device using one isochronous channel. The relationship between one input plug, one output plug and one isochronous channel is called a point-to-point connection. Similarly there are broadcast-out connections (one output plug and one isochronous channel) and broadcast-in connections (one input plug and one isochronous channel)

The flow of isochronous data is controlled by one output plug control register (oPCR) and one output master plug register (oMPR) located on the transmitting side. oMPR controls all the attributes (e.g. data rate capability, broadcast channel base etc.) that are common to all isochronous flows transmitted by the corresponding A/V device.

The reception of an isochronous data flow through an input plug is controlled by one input plug control register (iPCR) and one input master plug register (iMPR) located in the receiving device. iMPR controls all the attributes (e.g. data rate capability etc.) that are common to all isochronous data flows received by the corresponding device.

The major steps involved in establishing a connection are allocation of IEEE 1394 resources (e.g. bandwidth) and setting channel, data-rate, overhead-ID and connection counter in oPCR and iPCR.

An isochronous data flow can be controlled by any device connected to the IEEE 1394 serial bus by modifying the corresponding plug control registers. Although Plug control registers can be modified by asynchronous transactions on IEEE 1394 serial bus, the preferred method of connection management is through the use of AV/C. It is fully within the scope of this invention that CAL may be utilized for connection management.

#### Application Control Languages

In order for a consumer electronic device to interact with other devices interconnected via a IEEE 1394 serial bus, a common product mode and common set of commands must be defined. Three standards exist for device modeling and control: CAL, AV/C and the approach adopted for the USB.

CAL and AV/C are control languages that distinguish between logical and physical entities. For example, a television (i.e., a physical entity) may have a number of functional components (i.e., logical entities) such as a tuner, audio amplifier, etc. Such control languages provide two main functions: Resource allocation and Control. Resource allocation is concerned with requesting, using and releasing Generic Network resources. Messages and control are transported by the FCP as defined in IEC-61883 and discussed above. For example, CAL has adopted an object base methodology for its command syntax. An object contains and has sole access to a set number of internal values known as instance variables (IV). Each object keeps an internal list of methods. A method is an action that an object takes as a result of receiving a message. When a method is invoked, one or more IVs are usually updated. A message consists of a method identifier followed

by zero or more parameters. When an object receives a method, it looks through its list of methods for one which matches the method identified in the message. If found, the method will be executed. The parameters supplied with the message determine the exact execution of the method.

The design of control languages is based on the assumption that all consumer electronic products have a hierarchical structure of common parts or functions. For example, CAL treats each product as a collection of one or more of these common parts called Contexts. These contexts are designed to allow access to product functionality in a uniform way. The context data structure is a software model defined in each device that models the operation of all device functions.

A context consists of one or more objects grouped together to form a specific functional sub-unit of a device. Like an object, context is a model of a functional sub-unit. Devices are defined by one or more contexts. CAL has defined a large set of contexts to model various types of consumer electronic devices. Each context, regardless of what product it is in, operates the same way.

Objects are defined by a set of IVs, for example the IVs for a binary switch object contain required and optional IVs. Required IVs include a variable (`current_position`) that indicates whether the switch is on or off and the default position (`default_position`) of the switch. Optional IVs include `function_of_positions`; `reporting_conditions`; `dest_address`; `previous_value` and `report_header`. IVs are just like variables in any software program and are supported in CAL as Boolean, Numeric, Character, and Data (array). The IVs in an object can be categorized into three general groups: support IVs, reporting IVs, and active IVs. The support IVs are usually read only variables that define the installation use of the object and operation of the active IVs. Active IVs of an object are the variables that are primarily set or read to operate the object.

The interaction between a controller (e.g., digital television) and target or peripheral device (e.g., digital VCR) can mainly be divided into two major categories:

- i) A **machine-machine interaction** where both controller and peripheral device are machines. It is important to note that for this type of interaction, there is no user initiation at the time of the actual interaction. However, it is possible that the user preprogrammed the controller to carry a specific action at a specific point in time.
- ii) A **user-machine interaction** where a human is initiating actions on the controller.

The primary means of user-machine input for analog audio/video (A/V) devices is the use of a remote control unit or the front panel. Some of the interaction may also make use of an on-screen display (OSD) mechanism. In this kind of interaction the user interacts directly with the peripheral device.

The present application defines a base level of interoperability between devices from different manufacturers at a minimal cost. The users have the capability to interact with the A/V devices interconnected via an IEEE 1394 serial bus in a manner to which they are accustomed (i.e. use of an RC unit possibly in connection with an OSD). Figure 4 defines such a system 10" for providing interoperability between digital A/V devices interconnected via an IEEE 1394 serial bus.

In such a system 10", interoperability is achieved by transferring the menu or GUI information directly from the peripheral device 12" (e.g., DVCR) to controlling device 14" (e.g., DTV) utilizing one of the below-defined methodologies. The menu is not transferred as a composite video stream which would require first passing the menu information through a MPEG encoder contained in the peripheral device. The

menu is transferred via serial bus 16 to DTV 14" where the menu information is overlayed in DTV 14" with the decoded MPEG stream prior to being displayed.

To simplify the transfer of OSD information, a "Pull" method to transfer the OSD information from the peripheral device or DVCR 12" to the display capable controlling device or DTV 14" may be used. With this method, the bulk of the OSD data is transferred from the peripheral device to a display device by asynchronous read requests issued by the display device. That is, the display device reads the OSD information from the memory of the peripheral device by making use of at least one block read transaction of IEEE 1394. The display device is informed of the location and size of the OSD data via a "trigger" command which is sent from the peripheral device to the display device when the peripheral device is ready to begin transferring data.

Since the OSD information on the peripheral device is updated in response to user entered data (such as from a remote controller 13), the display device is alerted of the availability of newly updated data. This can be achieved by sending a short message (i.e., "trigger") to the OSD object of the controlling device. It should be noted that such a message needs to inform the display device of the starting location as well as length of the OSD data to be read. The length is necessary since the application in the controlling device is going to make use of asynchronous read transactions of IEEE 1394.

If the length is greater than what would fit into the maximum packet length possible for the particular IEEE 1394 network, the controller may initiate multiple block read transactions until all the OSD information has been read. In addition to the starting location and length of the current OSD data to be transferred to a display device, a field indicating the type of OSD data is useful. This is especially useful since in this case the same mechanism can also be used to trigger the

OSD mechanism of a display device to display such things as error, warning and/or status messages. The differentiation of the type of OSD data is helpful for the display device and/or user to decide whether it really wants it to be displayed (for example a user watching a movie may want to ignore things such as status messages).

The peripheral devices may indicate to the controller (i.e., display device) that it has OSD data ready to be transferred. An OSD Trigger message containing the starting offset address, length of the OSD data and the OSD\_type information is sent to the controller. The format of one such a message is defined below. This information will be used to determine the number of read requests it need to generate. The display device would pull the menu by reading it from the peripheral devisee's bus mapped memory space. This message could be encapsulated in CAL or AV/C.

OSD_data_offset	OSD_data_type	OSD_data_length
<---- 6 Bytes ----->	<---- 1 Byte ----->	<---- 3 Bytes ----->

OSD\_data offset : 48 bit offset address used in IEEE 1394 where the OSD data can be found on the target node.

OSD\_data\_type : 8 bit field indicating the type of OSD data presented.

A typical ordered flow may include the following messages, as illustrated in Figure 5. The peripheral device (e.g., digital VCR 12") receives a command pertaining to a first key press from the corresponding remote controller 13". In response to the command, the peripheral device provides a message to the controller (e.g., digital television 14") which includes the starting location and the length of the OSD information corresponding to the appropriate menu. Next, the controller sends a message to the peripheral device indicating a block read request. The peripheral device responds with a block read response and OSD data. This is repeated until the entire menu is transferred to the controller.

Defined below are alternative methods for transferring an OSD menu from a peripheral device to a controlling display device.

An asynchronous push method which primarily uses IEEE 1394 asynchronous write transactions initiated by the peripheral device may be used to write the OSD data onto the controller. This approach allows a peripheral device to write its menu contents into a controller device. Since it is expected that the menus will be larger than the MTU (Maximum Transfer Unit) of the bus, a fragmentation header can be added. The menu transport layer should add this header. On the receiving side, this layer reassembles the menu and passes it to

higher layers. A possible fragmentation header is defined below. The fragmentation header is one quadlet and contains a sequence number and the source of the fragment.

Fragment sequence no (2) bytes	Fragment source (node_id) (2) bytes
--------------------------------------	---

Other methods can be used to fragment and reassemble the OSD data while transferring using an asynchronous push methodology.

An isochronous transport method provides for broadcasting the OSD data over one of the Isochronous channels provided by IEEE 1394. Bandwidth would need to be reserved and held as long as the peripheral is being controlled using the OSD. It would also be possible that there would not be enough bandwidth left for the reservation of the channel. This could create a situation where the user is not able to get the feedback they require.

An Asynchronous Stream method would be similar to the Isochronous Stream method except that it would use an Asynchronous Stream to carry the OSD information. An Asynchronous Stream is essentially the same as an Isochronous Stream except that there is no bandwidth reservation and the stream is sent in the Asynchronous portion of the bus cycle.

During operation through a direct link, a peripheral device simply receives inputs from its RC unit or front panel and carries out corresponding actions. However, there is a slight complication when, as a result of these actions, an OSD is supposed to be generated on a display device. Since in this case, the actions of the peripheral device were initiated through its own direct link, the peripheral device has no knowledge as to which node on the network to display its OSD. (The peripheral device constructs the OSD data (i.e., OSD blocks

defined by a header) and stores it in its memory area.) Therefore a device which detects initiation of control through its direct link, can send OSD\_info messages to each OSD capable device (i.e., devices which have implemented the OSD object). It is up to the application in the display device to decide whether to act on this message or not. For example, if focus on that display device has been given to VCR1 and it receives an OSD\_info message from VCR1, it is quite natural for the display device to act on it. If the display device is not focused on the particular device, the user can be alerted of the presence of an OSD display request by a remote unit but can choose to ignore it depending on the OSD\_data\_type in the received OSD\_info message. Since the actual control is through the direct link, it has absolutely no effect on the peripheral device whether any or multiple display devices choose to display the OSD. On the other hand, this mechanism may also be used to inform the user of error conditions, warnings etc. which the user may or may not want to have displayed at the time. Therefore, the OSD\_info message includes a field for OSD\_data\_type to indicate whether the OSD data presented to the display device is a warning message, error message, normal OSD data etc.

OSD data can also be in a descriptive form such as HTML. However, for the purposes of this invention, HTML would be used only for describing how the OSD would look. HTML would not be used for control as it is for the Internet.

After a trigger message is received from the peripheral device, the OSD module in the Display Device requests memory accesses starting at the memory location in the trigger message. At this point, the OSD Module reads the OSD Block 1. The data is received using IEEE 1394 read commands and transferred to the display memory area in the display device. This data is then stored in the Display device's internal memory in the format required by the Display device's OSD controller.

The discovery process allows the controlling device to discover other devices in the network. This process is activated by a bus reset and serves to search and discover existing devices on the network. A bus reset may be caused by connecting/disconnecting a device, software initiated reset etc. This software module relies on some information stored on each device configuration ROM. This information is referred to as Self Description Device (SDD) and contains information such as Model #, Location of menu, URL, EUI Vendor ID etc.

The SDDT of a Display/Controller contains a pointer to an information block which contains information about the display capabilities of the device. The information block may include; type of display (*interlaced or progressive*), maximum bytes per line, true color capability, resolution modes supported (*full, 1/2, 1/3*), maximum bits/pixel supported for palette mode (2, 4, 8), etc. Other methods of discovery can also be used to obtain this information, such as the Home Plug and Play as defined for CAL or the subunit descriptors defined for AV/C.

After the bus initialization is complete, the discovery manager reads the SDD information located in the ROM of each connected device. This information will be built into a registry table.

Each device on the IEEE 1394 serial bus will have a registry table which will be used to keep track of other devices on the bus and their capabilities. For all devices on the bus, this device registry (registry table) will be constantly updated in the discovery process on bus resets. The Registry provides services to the application for mapping volatile characteristics like IEEE 1394 node\_ID, IP address etc. to a non-volatile identification scheme used by the application. The application uses the non-volatile 64-bit EUI (Extended Unique Identifier) for identifying any node on IEEE 1394 serial bus. The services of Registry are used to map this 64-bit EUI to volatile IEEE 1394 node\_ID or IP.

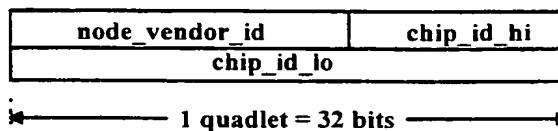
The "Registry" module is a system service module. The "Registry" system module allows the communication between the nodes within the home network by abstracting their location inside the home network.

The registry table is maintained by the Registry manager within each device and contains the information for each node to provide the service previously specified. This registry table is constantly updated by the Discovery manager on bus resets. Each row of the Registry Table can be as follows:

64-bit EUI	1394 node_ID	IP address	Manufac/ Model#	Device Type
---------------	-----------------	---------------	--------------------	----------------

The fields of the registry table are defined as:

- **64-bit EUI** is a 64-bit number that uniquely identifies a node among all the Serial Bus nodes manufactured world-wide.



- **1394 node\_ID** is a 16-bit number that uniquely identifies a Serial Bus node within a 1394 subnet. The most significant 10 bits are the bus ID and the least significant bits are the physical ID. Bus ID uniquely identifies a particular bus within a group of bridged buses. Physical ID is dynamically assigned during the self-identification process.
- **IP address** is a 32-bit private IP address assigned dynamically.

- **Manufacturer/Model #** is obtained from the device's SDDT and is used to inform the customer of possibilities for selecting a source.
- **Device Type** is also obtained from the device's SDDT and is used to inform the customer of possibilities for selecting a source. This field may also be useful in determining what stream format should be used. For example, a game machine may not use MPEG 2 as an output format.

The application can use the registry to determine the IEEE 1394 address for any node on the home network based on the 64-bit EUI of that node. The registry will be built during the discovery process after a bus reset. Correlation to a stable identifier such as the EUI is important since node addresses can change during a bus reset.

While the invention has been described in detail with respect to numerous embodiments thereof, it will be apparent that upon a reading and understanding of the foregoing, numerous alterations to the described embodiment will occur to those skilled in the art and it is intended to include such alterations within the scope of the appended claims.

Claims

1. A peripheral consumer electronic device comprising:
  - (a) means for communicating with at least one digital display device interconnected by digital bus;
  - (b) means for generating, in said peripheral device, digital data representative of an on-screen display associated with said peripheral device;
  - (c) means for transferring said digital data via said digital bus to said display device; and for controlling the display of said digital data in said display device.
2. The peripheral device of claim 1 wherein said transferring and controlling means comprises means for writing via said digital bus said digital data to a memory device associated with said display device;
3. The peripheral device of claim 2 further comprising a means for navigating said menu in response to a user initiated command, said navigating means generates updated digital data in response to said user initiated command and writes said updated digital data to said memory device, said user initiated command controls operating modes of said peripheral device.
4. The peripheral device of claim 3 wherein said transferring and controlling means utilizes an asynchronous transfer mechanism of said digital bus.
5. The peripheral device of claim 3 wherein said transferring and controlling means utilizes an isochronous transfer mechanism of said digital bus.

6. The peripheral device of claim 1 further comprising a mapping means for identifying the connectivity of said peripheral device with other devices on said digital bus.
7. The peripheral device of claim 6 further comprising means for receiving characteristic information of each device connected on said digital bus.
8. The peripheral device of claim 1 further comprising means for processing video data.
9. In combination in a system for controlling a peripheral consumer electronic device interconnected by a IEEE 1394 serial bus to a display device, said peripheral device performing the steps of:
  - (a) communicating with said display device;
  - (b) generating, in said peripheral device, digital data representative of an on-screen display menu associated with said peripheral device; and
  - (c) transferring said digital data to a memory device associated with said display device utilizing an asynchronous transfer mechanism of said serial bus and controlling the display of said digital data in said display device.
10. The combination of claim 9 further comprising the steps of:
  - (a) receiving control information in response to a user initiated command, said control information controlling operating modes of said peripheral device;
  - (b) navigating said menu in said peripheral device in response to said control information, wherein the step of navigating comprises updating said digital data; and
  - (c) transferring said updated digital data to said memory device of said display device.

11. In combination in a system for controlling a peripheral consumer electronic device interconnected by a IEEE 1394 serial bus to a display device, said peripheral device performing the steps of:

- (a) mapping the connectivity of each device on said serial bus;
- (b) communicating with said display device utilizing an asynchronous transfer mechanism of said serial bus;
- (c) generating, in said peripheral device, digital data representative of an on-screen display menu associated with said peripheral device; and
- (d) providing to said display device a first message indicative of the availability of said digital data, said first message comprising the location and size of said digital data in a memory device associated with said peripheral device.

12. The combination of claim 11 further comprising the steps of:

- (a) receiving control information in response to a user initiated command, said control information controlling operating modes of said peripheral device;
- (b) navigating said menu in said peripheral device in response to said control information, wherein the step of navigating comprises updating said digital data;
- (c) providing to said display device a second message comprising the location and size of said updated digital data; and
- (d) transferring said updated digital data to said memory device of said display device.

**AMENDED CLAIMS**

[received by the International Bureau on 26 February 1999 (26.02.99);  
original claims 4 and 5 cancelled; original claim 1 amended;  
new claim 13 added; remaining claims unchanged ( 3 pages )]

1. A peripheral consumer electronic device comprising:
  - (a) means for communicating with a digital display device interconnected by digital bus;
  - (b) means for generating, in said peripheral device, digital data representative of an on-screen display associated with said peripheral device;
  - (c) means for transferring said digital data via said digital bus to said display device utilizing an asynchronous transfer mechanism of said digital bus and for controlling the display of said digital data in said display device.
2. The peripheral device of claim 1 wherein said transferring and controlling means comprises means for writing via said digital bus said digital data to a memory device associated with said display device;
3. The peripheral device of claim 2 further comprising a means for navigating said menu in response to a user initiated command, said navigating means generates updated digital data in response to said user initiated command and writes said updated digital data to said memory device, said user initiated command controls operating modes of said peripheral device.

**Cancel Claims 4 and 5.**

6. The peripheral device of claim 1 further comprising a mapping means for identifying the connectivity of said peripheral device with other devices on said digital bus.
7. The peripheral device of claim 6 further comprising means for receiving characteristic information of each device connected on said digital bus.

8. The peripheral device of claim 1 further comprising means for processing video data.
9. In combination in a system for controlling a peripheral consumer electronic device interconnected by a IEEE 1394 serial bus to a display device, said peripheral device performing the steps of:
  - (a) communicating with said display device;
  - (b) generating, in said peripheral device, digital data representative of an on-screen display menu associated with said peripheral device; and
  - (c) transferring said digital data to a memory device associated with said display device utilizing an asynchronous transfer mechanism of said serial bus and controlling the display of said digital data in said display device.
10. The combination of claim 9 further comprising the steps of:
  - (a) receiving control information in response to a user initiated command, said control information controlling operating modes of said peripheral device;
  - (b) navigating said menu in said peripheral device in response to said control information, wherein the step of navigating comprises updating said digital data; and
  - (c) transferring said updated digital data to said memory device of said display device.
11. In combination in a system for controlling a peripheral consumer electronic device interconnected by a IEEE 1394 serial bus to a display device, said peripheral device performing the steps of:
  - (a) mapping the connectivity of each device on said serial bus;
  - (b) communicating with said display device utilizing an asynchronous transfer mechanism of said serial bus;
  - (c) generating, in said peripheral device, digital data representative of an on-screen display menu associated with said peripheral device; and

(d) providing to said display device a first message indicative of the availability of said digital data, said first message comprising the location and size of said digital data in a memory device associated with said peripheral device.

12. The combination of claim 11 further comprising the steps of:

(a) receiving control information in response to a user initiated command, said control information controlling operating modes of said peripheral device;

(b) navigating said menu in said peripheral device in response to said control information, wherein the step of navigating comprises updating said digital data;

(c) providing to said display device a second message comprising the location and size of said updated digital data; and

(d) transferring said updated digital data to said memory device of said display device.

13. A peripheral consumer electronic device comprising:

(a) means for communicating with a digital display device interconnected by digital bus;

(b) means for generating, in said peripheral device, digital data representative of an on-screen display associated with said peripheral device;

(c) means for transferring said digital data via said digital bus to said display device utilizing an isochronous transfer mechanism of said digital bus and for controlling the display of said digital data in said display device.

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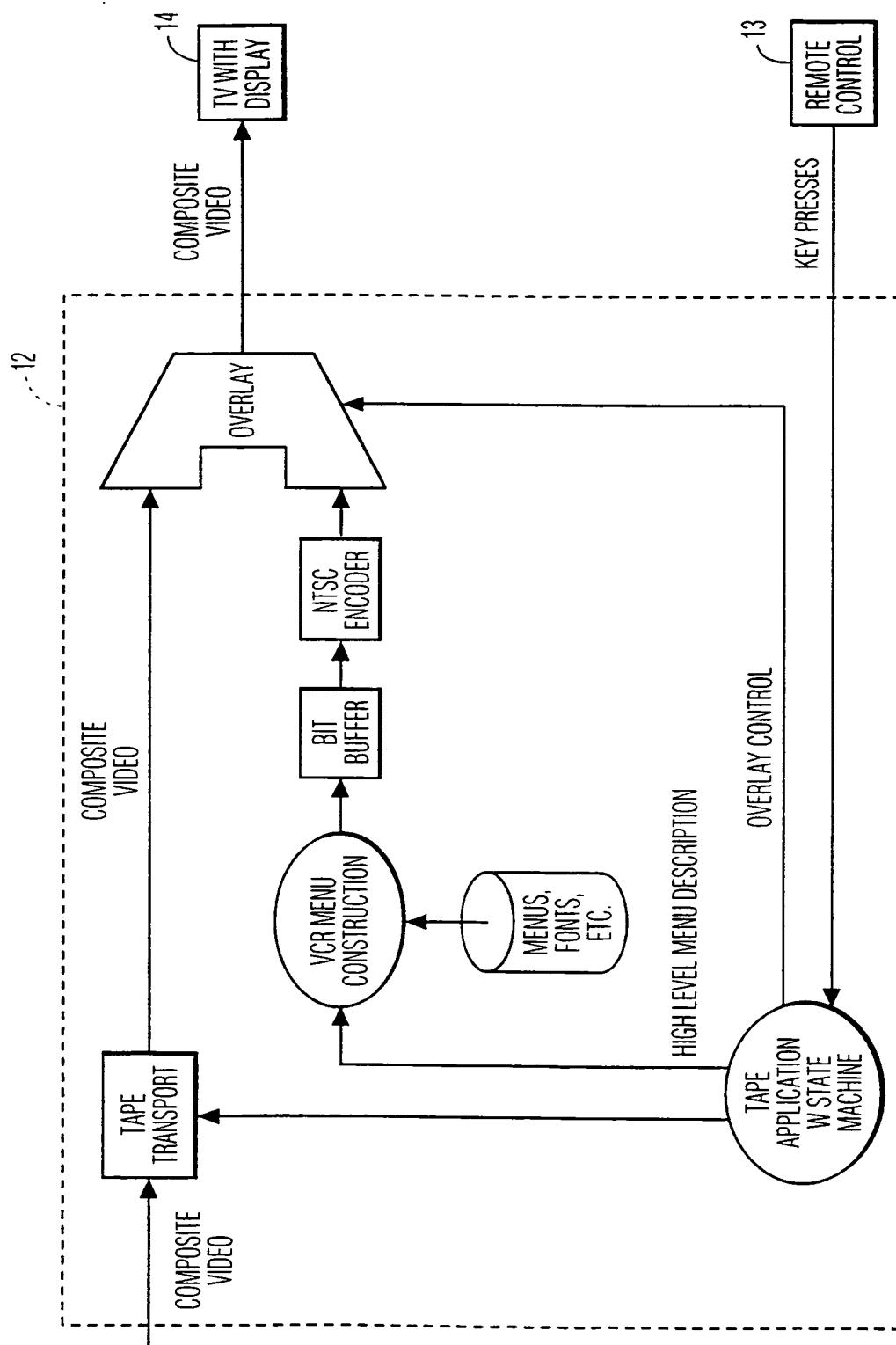
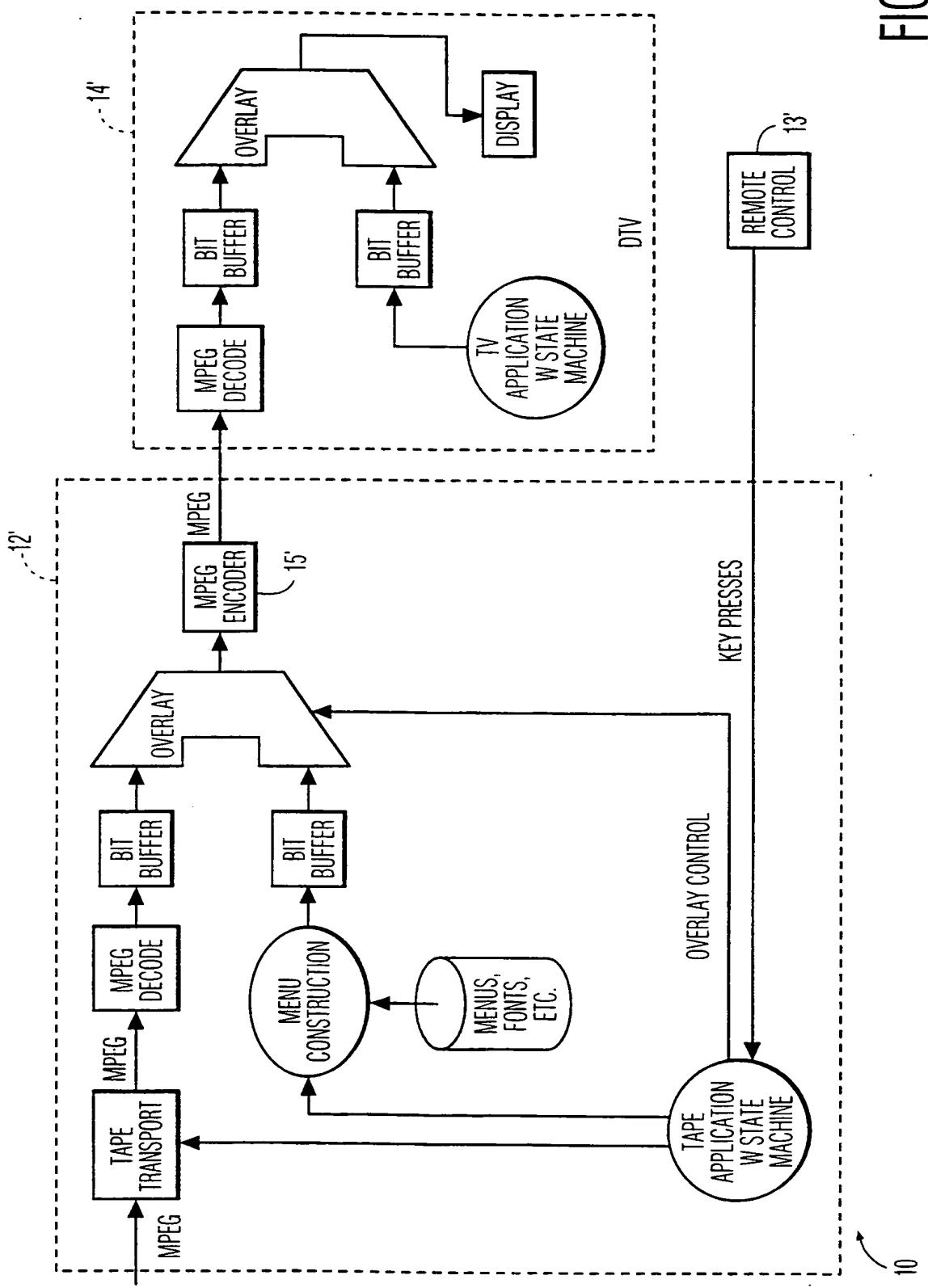


FIG. 1

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FIG. 2

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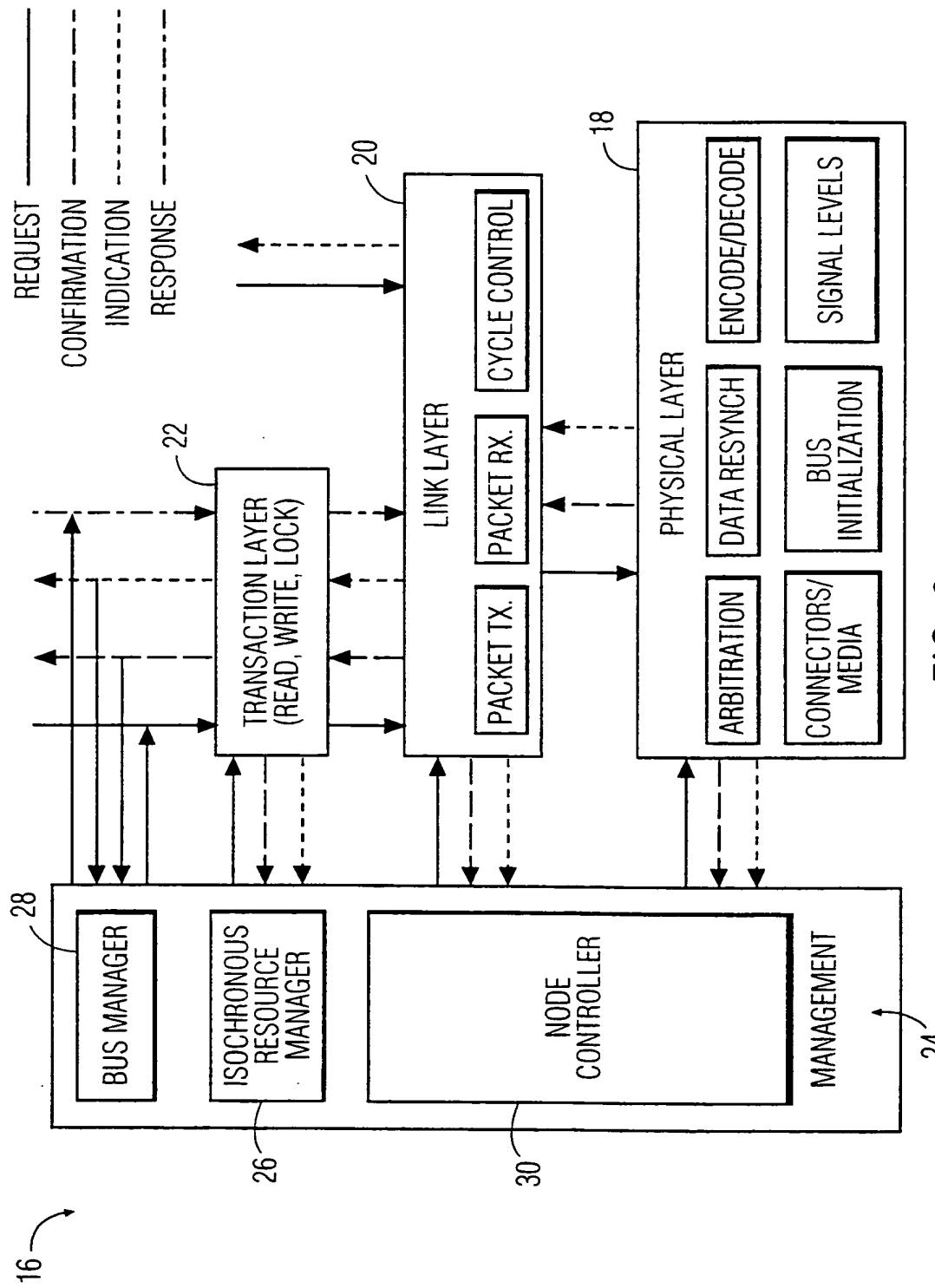
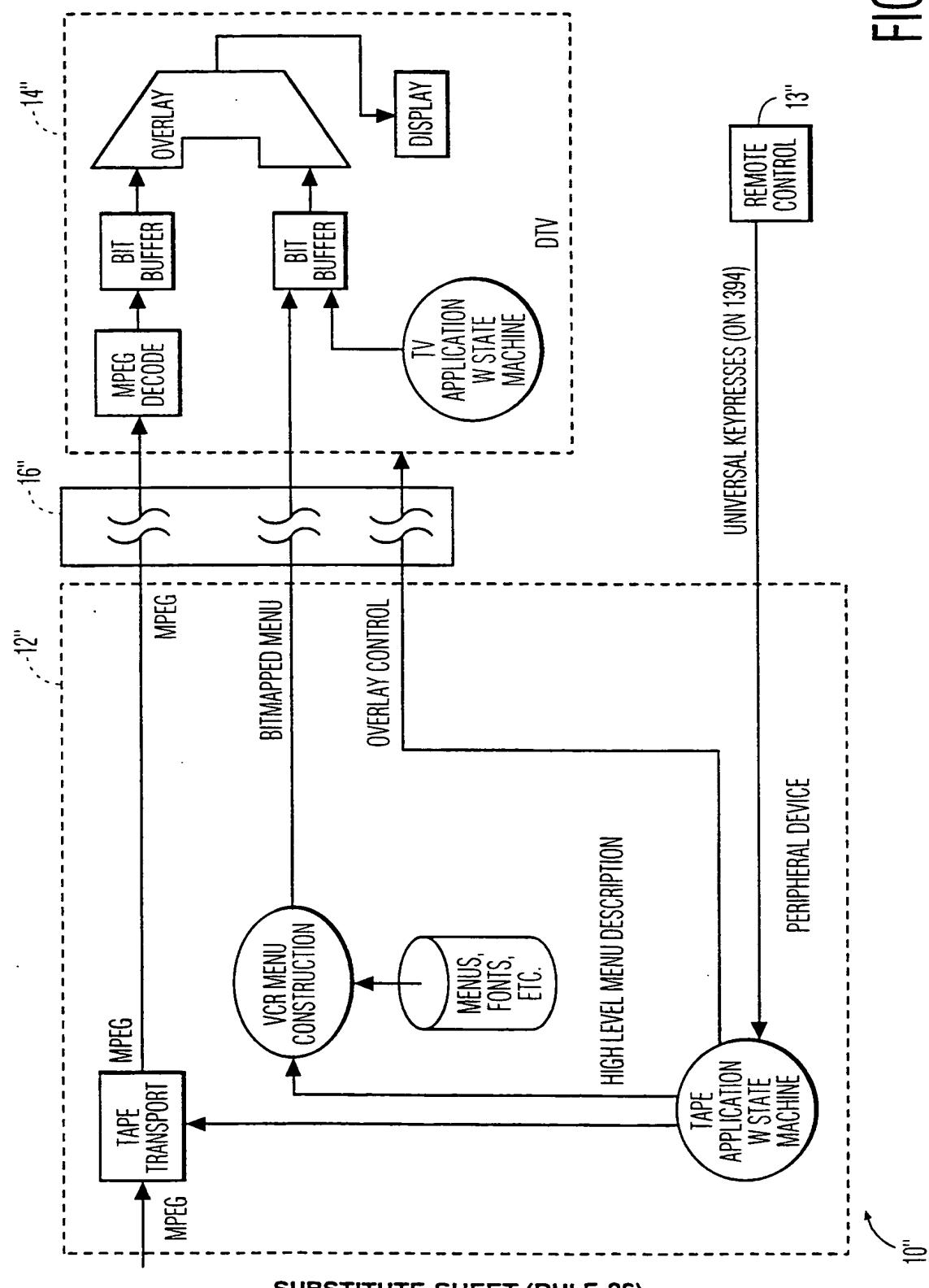


FIG. 3

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FIG. 4

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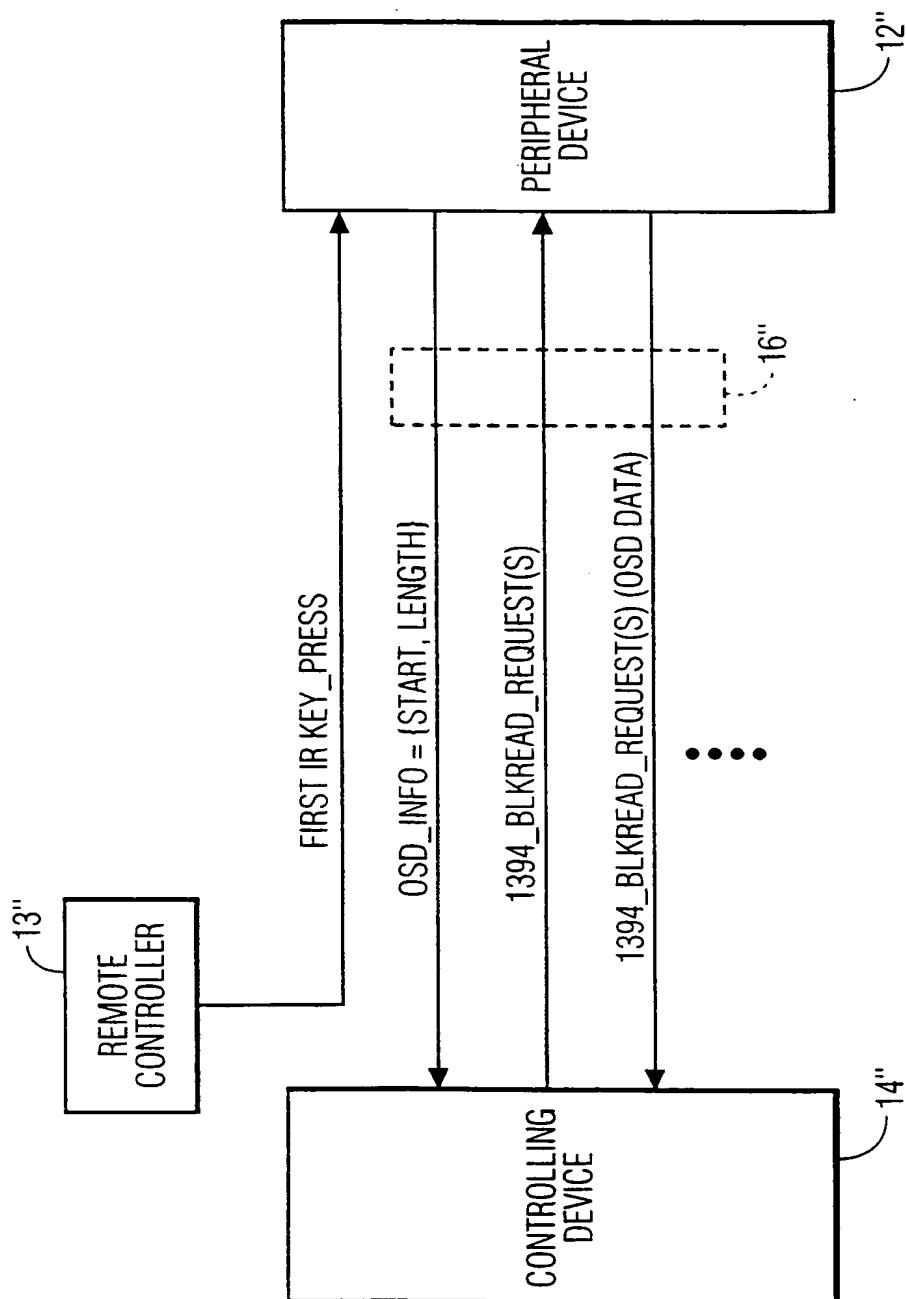


FIG. 5

## INTERNATIONAL SEARCH REPORT

Int'l Application No

PCT/US 98/19483

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 H04N5/44

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04N H04B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 608 730 A (OSAKABE Y. ET AL) 4 March 1997 see column 10, line 4 - column 20, line 5 ---	1-3,6,8
X	US 5 617 330 A (STIRLING A.) 1 April 1997 see the whole document ---	1-3,6,8
X	US 5 499 018 A (WELMER H.) 12 March 1996 see the whole document ---	1-3,6,8
X	US 5 617 571 A (TANAKA S.) 1 April 1997 see column 4, line 66 - column 6, line 4 ---	1-3,6,8
P,A	WO 97 49057 A (SONY CORPORATION) 24 December 1997 see the whole document ---	1-12 -/-

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

## \* Special categories of cited documents :

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"E" earlier document but published on or after the international filing date

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

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"P" document published prior to the international filing date but later than the priority date claimed

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Date of the actual completion of the international search

Date of mailing of the international search report

18 December 1998

29/12/1998

Name and mailing address of the ISA  
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## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 98/19483

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Information on patent family members

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